



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1527

|  |   |                           |                        |  |                            |
|--|---|---------------------------|------------------------|--|----------------------------|
| SERIAL NUMBER<br>08/976,159  | FILING DATE<br>11/21/1997<br>RULE   | CLASS<br>705              | GROUP ART UNIT<br>3623 | ATTORNEY<br>DOCKET NO.<br>2656/2   |                            |
| APPLICANTS   |   |                           |                        |  |                            |
| NEIL FREDERICK BRANDER, NEW YORK, NY;<br>ANDREW JOHN ZELENKA, SYOSSET, NY;   |   |                           |                        |  |                            |
| ** CONTINUING DATA *****   |   |                           |                        |  |                            |
| ** FOREIGN APPLICATIONS *****  |   |                           |                        |  |                            |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED<br>** 03/09/1998   |   |                           |                        |  |                            |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged<br>Examiner's Signature _____ Initials _____ |   | STATE OR<br>COUNTRY<br>NY | SHEETS<br>DRAWING<br>6 | TOTAL<br>CLAIMS<br>32  | INDEPENDENT<br>CLAIMS<br>9 |
| ADDRESS<br>26646<br>KENYON & KENYON<br>ONE BROADWAY<br>NEW YORK, NY<br>10004   |   |                           |                        |  |                            |
| TITLE<br>ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS  |   |                           |                        |  |                            |
| FILING FEE<br>RECEIVED<br>1618   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                           |                        | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue ) |                            |